

I hereby certify that this correspondence is being submitted with the U.S. Postal Service as Express Mail, Airbill No. EV48273620, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 6, 2004

Signature: Rita Carr
(Rita Carr)

DT07 Rec'd PTO 06 MAY 2004

#12

Docket No.: 51410/P039C2/10401533
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:
Paul Edmonds et al.

U.S. Application No.: 09/889,911
International Application No.: PCT/US00/01547

Art Unit: N/A

Filed: January 21, 2000

Examiner: Not Yet Assigned

For: **UNIVERSAL INTERFACE FOR VOICE ACTIVATED
ACCESS TO MULTIPLE INFORMATION
PROVIDERS**

REQUEST FOR ADDITION OF INVENTOR

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request that Stephen Co and David Weinstein be added as inventors on the above-identified patent application. This request is submitted in conjunction with a Response to a Notice of Defective Response.

The Response to the Notice of Defective Response includes the declarations needed to add inventors Stephen Co and David Weinstein to the list of inventors for U.S. Application No.: 09/889,911. Specifically, the Notice of Defective Response includes the following: a statement of lack of deceptive intent by Stephen Co and David Weinstein and a petition by assignee, Openwave Systems, Inc., for addition of the inventors, Stephen Co and David Weinstein.

This patent application should now list nine co-inventors as follows:

Paul Edmonds, Yi Zhang, George Sollman, Michael P. Tel, Chang
Xu, Priyen Doshi, Stephen Co, David Weinstein, and Andy Chan.

RECEIVED

28 MAY 2004

International Division

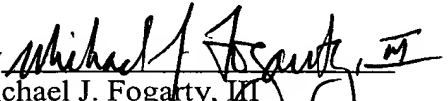
Application No.: 09/889,911

Docket No.: 51410/P039C2/10401533

Please feel free to call me if you have any questions regarding this matter.

Dated: May 6, 2004

Respectfully submitted,

By 
Michael J. Fogarty, III
Registration No.: 42,541
FULBRIGHT & JAWORSKI L.L.P.
2200 Ross Avenue, Suite 2800
Dallas, Texas 75201-2784
(214) 855-8000
(214) 855-8200 (Fax)
Attorney for Applicant



Rec'd T/PTO 06 MAY 2004

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known**

Application Number	09/889,911
Filing Date	January 21, 2000
First Named Inventor	Paul Edmonds
Examiner Name	Not Yet Assigned
Art Unit	N/A
Attorney Docket No.	51410/P039C2/10401533

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number

06-2380

Deposit Account Name

Fulbright & Jaworski L.L.P.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =		x	
Independent Claims	-3** =		x	
Multiple Dependent				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)				Missing Parts	130.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00**SUBMITTED BY**

(Complete (if applicable))

Name (Print/Type)	Michael J. Fogarty, III	Registration No. (Attorney/Agent)	42,541	Telephone	(214) 855-8172
Signature		Date	May 6, 2004		

Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV482736206US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 6, 2004

Signature: (Rita Carr)



05/07/04

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06 MAY 2004

Page 1 of 2
PCT
HRT
THP

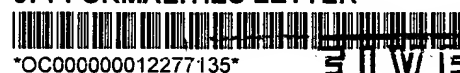
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/889,911	Paul Edmonds	09872-002003

26181
FISH & RICHARDSON P.C.
3300 DAIN RAUSCHER PLAZA
MINNEAPOLIS, MN 55402RECEIVED
APR - 9 2004
PRACTICE SYSTEMS

INTERNATIONAL APPLICATION NO.	
PCT/US00/01547	
I.A. FILING DATE	PRIORITY DATE
01/21/2000	01/21/1999

CONFIRMATION NO. 3486
371 FORMALITIES LETTER

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APR 22 2004

HT & JAWORSK
TAB

Date Mailed: 04/06/2004

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 05/09/2002
- Copy of the International Search Report filed on 05/09/2002
- Copy of IPE Report filed on 05/09/2002
- Preliminary Amendments filed on 05/09/2002
- Information Disclosure Statements filed on 04/02/2002
- Oath or Declaration filed on 09/30/2003
- U.S. Basic National Fees filed on 05/09/2002
- Power of Attorney filed on 09/30/2003

Docketed By Practice Systems	
Action Code:	DEFECTIVE RESP.
Base Date:	4-6-04
Due Date:	5-6-04
Deadline:	5-6-04
Initials:	JA
Record:	

Docketed By Billing/Secret.	
Base Date:	
Due Date:	
Deadline:	
Initials:	
Record:	

Applicant's response filed 09/30/2003 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 07/03/2003 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Executed by inventors not listed on International Application. Please clarify.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/889,911	PCT/US00/01547	09872-002003

FORM PCT/DO/EO/916 (371 Formalities Notice)



Rec'd PCT 10 06 MAY 2004

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/889,911
	Filing Date	January 21, 2000
	First Named Inventor	Paul Edmonds
	Art Unit	N/A
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	51410/P039C2/10401533

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Addition of Inventor Response to Notification of Defective Response Petition by Assignee Statement of Lack of Deceptive Intention Stephen Co Statement of Lack of Deceptive Intention David Weinstein Notification of Defective Response Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FULBRIGHT & JAWORSKI L.L.P. Michael J. Fogarty, III
Signature	
Date	May 6, 2004

Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV482736206US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 6, 2004

Signature: (Rita Carr)

I hereby certify that this correspondence is being submitted with the U.S. Postal Service as Express Mail, Airbill No. EV48273620, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 6, 2004

Signature: _____

(Rita Carr)

Rec'd PCTO

06 MAY 2004

Docket No.: 51410/P039C2/10401533
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:
Paul Edmonds, et al.

Confirmation No.: 3486

U.S. Application No.: 09/889,911

International Application No.: PCT/US00/01547

I.A. Filing Date: 01/21/2000

Examiner: Not Yet Assigned

For: **UNIVERSAL INTERFACE FOR
VOICE ACTIVATED ACCESS TO
MULTIPLE INFORMATION
PROVIDERS**

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Defective Response dated April 6, 2004, please accept the following response as follows:

The Notification of Defective Response dated April 6, 2004 states that the requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed on July 3, 2003 have not been completed because the current oath or declaration does not comply with 37 CFR 1.497 (a) and (b) because it is executed by inventors not listed on International Application No. PCT/US00/01547.

The pending application was invented by the following co-inventors: Paul Edmonds, Yi Zhang, George Sollman, Michael P. Tel, Chang Xu, Priyen Doshi, Stephen Co, David Weinstein, and Andy Chan. Upon review of the file, Applicants discovered that two co-inventors, Stephen Co and David Weinstein, were inadvertently left off the list of inventors for International Application No. PCT/US00/01547. These inventors were left out as an inadvertent mistake without deceptive intent. However, these inventors did execute the oath that was filed on September 30, 2003, and thus, the oath of September 30, 2003 appears as though it was executed by inventors not listed on the international application.

Applicants submit with this response Exhibits A-C so that inventors Stephen Co and David Weinstein, who were inadvertently left off of the list of inventors for International Application No. PCT/US00/01547, can be added as inventors on the above-identified patent application.

- Exhibit A is a statement of lack of deceptive intent by Stephen Co, the first of the two missing inventors.
- Exhibit B is a statement of lack of deceptive intent by David Weinstein, the second of the two missing inventors.
- Exhibit C is a petition by assignee, Openwave Systems, Inc., for addition of inventors.

Applicants file in conjunction with this response a request for addition of inventors so that inventors Stephen Co and David Weinstein may be added to the list of inventors for the above identified patent application. In view of the above, it is submitted that the requirements set forth in the Notification of Missing Requirements dated July 3, 2003 is complied with.

Applicants submit herewith a check in the amount of \$130.00 for the processing fee set forth in 37 CFR § 1.17(i) for the declaration submitted in Exhibits A and B in compliance with 37 CFR §1.497(d). However, if the enclosed fee is insufficient or in excess, please

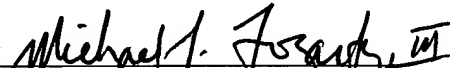
Application No.: 09/889,911

Docket No.: 51410/P039C2/10401533

charge or credit Deposit Account No. 06-2380, under Order No. 51410/P039C2/10401533,
from which the undersigned is authorized to draw.

Dated: May 6, 2004

Respectfully submitted,

By 

Michael J. Fogarty, III

Registration No.: 42,541

FULBRIGHT & JAWORSKI L.L.P.

2200 Ross Avenue, Suite 2800

Dallas, Texas 75201-2784

(214) 855-8000

(214) 855-8200 (Fax)

Attorney for Applicant



PATENT APPLICATION
ATTORNEY DOCKET NO. 51410-P039C2-10401533

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Paul Edmonds, et al.
Serial No. 09/889,911 Confirmation No. 3486
International Application No. PCT/US00/01547 Art Unit: N/A
Filing Date:
Title: UNIVERSAL INTERFACE FOR VOICE ACTIVATED ACCESS TO MULTIPLE INFORMATION PROVIDERS Examiner: Not Yet Assigned

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT OF LACK OF DECEPTIVE INTENTION
BY ADDED INVENTOR(s) Stephen Co and David Weinstein**

The above-identified patent application arose from International Application No. PCT/US00/01547 that was originally filed with a coversheet and a declaration that listed Paul Edmonds, Yi Zhang, George Sollman, Michael P. Tel, Chang Xu, Priyen Doshi, and Andy Chan as co-inventors. That inventor list was in error and should have also included Stephen Co and David Weinstein as additional co-inventors.

I hereby state that the error in naming inventors in the above-identified patent application occurred without deceptive intention on my part.

Date: 4/23/04

Stephen Co
Stephen Co





Rec PCT/PTO 06 MAY 2004

PATENT APPLICATION
ATTORNEY DOCKET No. 51410-P039C2-10401533

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Paul Edmonds, et al.
Serial No. 09/889,911 Confirmation No. 3486
International PCT/US00/01547 Art Unit: N/A
Application No.
I.A. Filing Date: 01/21/2000 Priority Date 01/21/1999
Title: UNIVERSAL INTERFACE FOR
VOICE ACTIVATED ACCESS TO
MULTIPLE INFORMATION
PROVIDERS Examiner: Not Yet Assigned


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT OF LACK OF DECEPTIVE INTENTION
BY ADDED INVENTOR(S) Stephen Co and David Weinstein**

The above-identified patent application arose from International Application No. PCT/US00/01547 that was originally filed with a coversheet and a declaration that listed Paul Edmonds, Yi Zhang, George Sollman, Michael P. Tel, Chang Xu, Priyen Doshi, and Andy Chan as co-inventors. That inventor list was in error and should have also included Stephen Co and David Weinstein as additional co-inventors.

I hereby state that the error in naming inventors in the above-identified patent application occurred without deceptive intention on my part.

Date: 5/1/2004


David Weinstein





Rec'd PCT/PTG 06 MAY 2004

Docket No.: 51410/P039C2/10401533
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Paul Edmonds et al.

U.S. Application No.: 09/889,911
International Application No.: PCT/US00/01547
Filed: January 21, 2000

Art Unit: N/A
Examiner: Not Yet Assigned

For: **UNIVERSAL INTERFACE FOR VOICE
ACTIVATED ACCESS TO MULTIPLE
INFORMATION PROVIDERS**

PETITION BY ASSIGNEE FOR ADDITION OF INVENTORS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The above-identified patent application claims priority to International patent application PCT/US00/01547, International filing date January 21, 2000, priority date of January 21, 1999. A declaration was filed in the United States Patent and Trademark Office on September 30, 2003 that listed Paul Edmonds, Yi Zhang, Chang Xu, Priyen Doshi, George Sollman, Andy Chan, Michael P. Tell, David Weinstein, and Stephen Co as co-inventors. This declaration includes inventors Stephen Co and David Weinstein which were inadvertently not named in the list of inventors for the International patent application.

Assignee hereby gives written consent to add Stephen Co and David Weinstein as inventors to the above-named application.

Assignee hereby states that the error in naming inventors in the above-identified application occurred without deceptive intention on Assignee's part.

Assignee: Openwave Systems, Inc.
Date: MAY 4, 2004

By: Alan D. Minsk

ALD-MK

Title: DIRECTOR AND PATENT COUNSEL

Openwave P039C2 Petition by Assignee Adding Inventors.doc

05/13/2004 SHAFARRO 00000107 09889911

01 FC:1460

130.00 OP

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